

# On the Hardness of Embeddings Between Two Finite Metrics

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**Abstract.** We improve hardness results for the problem of embedding one finite metric into another with minimum distortion. This problem is equivalent to optimally embedding one weighted graph into another under the shortest path metric. We show that unless  $P = NP$ , the minimum distortion of embedding one such graph into another cannot be efficiently approximated within a factor less than  $9/4$  even when the two graphs are unweighted trees. For weighted trees with the ratio of maximum edge weight to the minimum edge weight of  $\alpha^2$  ( $\alpha \geq 1$ ) and all but one node of constant degree, we improve this factor to  $1 + \alpha$ . We also obtain similar hardness results for extremely simple line graphs (weighted). This improves and complements recent results of Kenyon et al. [13] and Papadimitriou and Safra [18].

## 1 Introduction

For two  $n$ -point metric spaces  $(X, \rho)$  and  $(Y, \sigma)$ , the *expansion* of a bijection  $\varphi : X \rightarrow Y$  is defined as  $exp(\varphi) = \max_{a \neq b \in X} \frac{\sigma(\varphi(a), \varphi(b))}{\rho(a, b)}$ . The *distortion* of  $\varphi$ , denoted  $dist(\varphi)$ , is the product of  $exp(\varphi)$  and  $exp(\varphi^{-1})$ . The expansion of  $\varphi^{-1}$  is also referred to as the *contraction* of  $\varphi$  and denoted  $con(\varphi)$ . The *distortion between  $X$  and  $Y$* , denoted  $dist(X, Y)$ , is the minimum distortion over all such bijections and may be thought of as a difference measure between these metric spaces. This paper addresses the computational hardness of the problem of embedding one finite metric space into another with minimum distortion.

The notion of distortion was originally studied for infinite metrics [12] in the analysis of Banach spaces. More recently the embedding of finite metrics into Euclidean and other  $L_p$  metrics has been very successful for applications in theoretical computer science, including approximation, learning, on-line algorithms, high-dimensional geometry, and others [6,17,16,11]. This notion has been extended in such directions as embedding a finite metric into a distribution of metrics which has again found great success in approximation algorithms [1,8]. This continues to be an active area of research [2,15].

We point out that the problems addressed in the works mentioned above are combinatorial in nature— that is, they are concerned with embedding a finite metric into another *class* of metrics and the focus is on providing bounds for the distortion itself. However, we are interested in the algorithmic problem of embedding a *specific* metric into another *specific* metric— i.e. we are interested in the worst case ratio of the distortion obtained by the algorithm under consideration and the best possible distortion. This problem was introduced by Kenyon et al. [13]. The recent work of Bădoiu et. al. [3] considers the algorithmic question of finding embeddings of a specific metric into a class of metrics.

In addition to the fact that the problem of finding low-distortion embeddings between two finite metrics is a very natural question that by itself merits investigation, the problem is also likely to have much wider use than theoretical computer science. To mention three examples, theorem proving and symbolic computation [20], database problems such as queries over heterogeneous structured databases [21], and matching gels from electrophoresis [10] can all be expressed as tree embedding problems. The problem has several other applications as well [13].

We note a basic fact that any  $n$ -point metric may be realized as the shortest path metric of a weighted undirected graph over  $n$  nodes, for example by making a complete graph whose adjacency matrix is the matrix of metric distances. Due to this correspondence, we will exclusively focus on the problem of optimally embedding one graph into another. We will implicitly identify a graph with the metric given by shortest paths on that graph. For a set of weighted graphs, their *weight ratio* is the ratio of the maximum to the minimum weights of edges in the graphs.

### 1.1 Previous Results

The only upper bounds on this problem known to us are by Kenyon et al. [13]. Given two point sets on the real line with the  $L_1$  distance metric that have distortion less than  $3 + 2\sqrt{2}$ , there is a polynomial time algorithm to find an embedding with the minimum distortion. Their second result finds the minimum distortion between an arbitrary graph and a tree, in polynomial time if the degree of the tree and the distortion are constant. Their algorithm is exponential in the degree of the tree and doubly-exponential in the distortion. Both algorithms are based on dynamic programming; the latter is similar to those based on tree decompositions of graphs.

The situation for hardness results is a little more clear. Determining if there is an isometry—a distortion 1 embedding—between two graphs is the graph isomorphism problem, which is not known to be in  $\mathbf{P}$  but which is probably not  $\mathbf{NP}$ -hard either. Kenyon et al. [13] show the problem is  $\mathbf{NP}$ -hard to approximate within a factor of 2 for general graphs and a factor of  $4/3$  in the case where one of the graphs is an unweighted tree and the other is a weighted graph with weights  $1/2$  or 1. Papadimitriou and Safra [18] show that it is  $\mathbf{NP}$ -hard to approximate within a factor of 3 the distortion between any two finite metrics realized as point sets in  $\mathbb{R}^3$  where the distance metric is the  $L_2$  norm.

## 1.2 Our Results

**Unweighted Trees** (Section 3.3) The problem is NP-hard to approximate within a factor less than  $9/4$  for unweighted trees. As far as we know, this is the first hardness result for embedding an unweighted graph into another. It also improves the factor of 2 result for general graphs [13] even when the graphs are unweighted.

**Weighted Trees** (Section 3.2) The problem is NP-hard to approximate within a factor less than  $1 + \alpha$  for any  $\alpha \geq 1$  and tree graphs with weight ratio  $\Omega(\alpha^2)$ . This is the first hardness result for embedding trees into trees and improves the bound of 2 for general graphs [13] at the expense of a larger weight ratio. Our result also holds when all but one node of the underlying graphs have degree  $\leq 4$ ; the problem is known to be easy in the unweighted case when all nodes have constant degree and the distortion is small [13]. This result also improves the bound of 3 by Papadimitriou and Safra [18].

**Weighted Line Graphs** (Section 4) The problem is NP-hard to approximate within a factor of  $\alpha$  for any  $\alpha > 1$  and line graphs with weight ratio  $\Omega(\alpha^2 n^4)$ , where  $n$  is the number of nodes in the two graphs. This is the only bound known for graphs with constant degrees and large weights.

## 2 Preliminaries

We begin with some basic properties of the distortion resulting from embedding a weighted undirected graph  $G$  into another such graph  $H$ . Let  $[m]$  denote the set of integers from 1 to  $m$ . Let  $d_G$  and  $d_H$  denote the shortest path distances in  $G$  and  $H$ , respectively. Fix a bijection  $\varphi : G \rightarrow H$ . We state the following results for  $\text{exp}(\varphi)$ . Analogous results hold for  $\text{con}(\varphi)$  which is nothing but  $\text{exp}(\varphi^{-1})$ .

**Lemma 1** ([13]).  $\varphi$  achieves its maximum expansion at some edge in  $G$ , i.e.,  $\text{exp}(\varphi) = \max_{\{a,b\} \in E(G)} \frac{d_H(\varphi(a), \varphi(b))}{d_G(a,b)}$ .

**Corollary 1.** If  $G$  and  $H$  are unweighted then  $\text{exp}(\varphi)$  is an integer.

**Lemma 2.** If  $G$  and  $H$  are unweighted and  $H$  has no edge-subgraph that is isomorphic to  $G$  then  $\text{exp}(\varphi) \geq 2$ .

*Proof.* Let  $u$  and  $v$  be nodes of  $G$  such that  $(u, v) \in E(G)$  but  $(\varphi(u), \varphi(v)) \notin E(H)$ . Such nodes must exist because  $H$  has no edge-subgraph isomorphic to  $G$ .  $d_G(u, v) = 1$  and  $d_H(\varphi(u), \varphi(v)) \geq 2$ , implying an expansion of at least 2.  $\square$

We now state the problem we use in the reductions for our NP-hardness proofs. It is a generalization of the Hamiltonian cycle problem [9]. Let  $\mathcal{G} = (V, E)$  be a directed graph over  $n$  vertices.  $\mathcal{G}$  has a *disjoint cycle cover* if there is a collection of vertex-disjoint cycles in  $\mathcal{G}$  that contain every node in  $V$ , i.e., there exists a permutation  $\sigma : [n] \rightarrow [n]$  such that for all  $i \in [n]$ ,  $(v_i, v_{\sigma(i)}) \in E$ .  $\mathcal{G}$  has a *loose disjoint cycle cover* if it has a disjoint cycle cover after adding two arbitrarily chosen edges to  $E$ .

The *loose directed disjoint cycle cover testing* problem is a property testing problem defined as follows. Given a directed graph  $\mathcal{G}$ , output 1 if  $\mathcal{G}$  has a disjoint cycle cover and 0 if  $\mathcal{G}$  does not even have a loose disjoint cycle cover. Note that in the remaining scenario, one is allowed to output anything.

**Lemma 3.** *The loose directed disjoint cycle cover testing problem is NP-hard for graphs with indegree  $\leq 4$  and outdegree  $= 3$ .*

*Proof.* This can be shown by an extension of the ideas used in the NP-completeness proof of the directed disjoint cycle cover problem in an earlier paper by the authors [5] using in addition the fact that the Vertex Cover problem is hard to approximate [7]. We omit the details.  $\square$

Finally, we mention a combinatorial result about sum-free sequences that is used in one of our constructions. A sequence of  $n$  integers is *k-way sum-free* if all  $n^k$  sums of  $k$  integers (not necessarily distinct) in it are distinct. Khanna et al. [14] suggest a greedy algorithm to construct 3-way sum-free sequences. Their result can be generalized to the following.

**Lemma 4.** *There exists a strictly increasing sequence of size  $n$  in  $[n^{2k-1}]$  that is k-way sum-free and is computable in time  $O(n^{2k-1})$ .*

### 3 Hardness of Embeddings between Tree Graphs

Consider the problem of finding a minimum distortion embedding between two given undirected tree graphs. We give reductions from the loose directed disjoint cycle cover testing problem to the decision version of this embedding problem on weighted as well as unweighted trees. The result for the weighted case holds even for graphs with all but one node of degree at most 4. We begin with a general construction that will be used in both reductions.

Given a directed graph  $\mathcal{G}$  with outdegree  $= 3$  and indegree  $\leq 4$ , we will construct a source tree  $\mathcal{S}$  and a destination tree  $\mathcal{D}$  with the property that there exist  $0 < a < b$  such that

1. if  $\mathcal{G}$  has a disjoint cycle cover then  $dist(\mathcal{S}, \mathcal{D}) \leq a$ , and
2. if  $\mathcal{G}$  has no *loose* disjoint cycle cover then  $dist(\mathcal{S}, \mathcal{D}) \geq b$ .

It follows from Lemma 3 that it is NP-hard to approximate  $dist(\mathcal{S}, \mathcal{D})$  within a factor less than  $b/a$ .

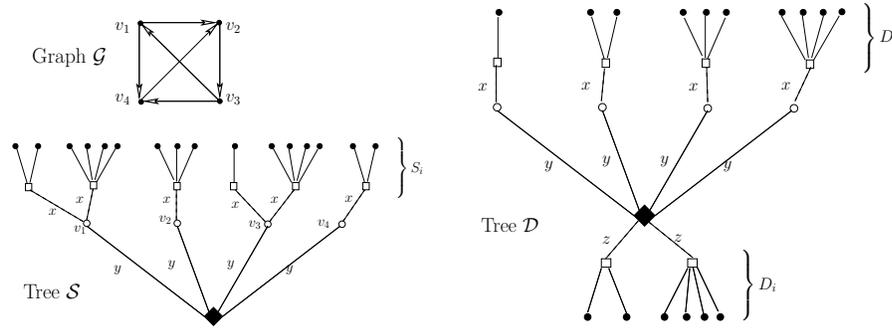
#### 3.1 The Construction

We describe in this section the construction of  $\mathcal{S}$  and  $\mathcal{D}$  from  $\mathcal{G}$ . Let  $\mathbb{Z}^+$  denote the set of positive integers and  $s : \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$  be a strictly increasing monotonic function. Let  $v_1, \dots, v_n$  be the vertices of  $\mathcal{G}$ .

We will need two types of gadgets, a *center gadget* and for each  $i \in [n]$ , a *size gadget*  $T_i$ . The center gadget is a rooted tree consisting of  $n$  leaves, all at

depth 1. All edges in this gadget have weight  $y \in \mathbb{Z}^+$ . Its root is denoted by  $c_r$  and leaves by  $c_\ell$ . The size gadget  $T_i$  is a rooted tree consisting of  $s(i)$  leaves, all at depth 1. All edges in  $T_i$  have weight 1. The root of  $T_i$  is denoted by  $g_r$  and the leaves by  $g_\ell$ .

The source tree  $\mathcal{S}$  is constructed as follows (see Fig. 1). Start with a copy of the center gadget and associate with each  $c_\ell$  node of it a distinct vertex  $v_i$  of  $\mathcal{G}$ . For any  $i \in [n]$ , let the successors of  $v_i$  in  $\mathcal{G}$  be the vertices  $v_{i_1}, v_{i_2}$ , and  $v_{i_3}$ . Attach to the  $c_\ell$  node corresponding to  $v_i$  copies of the three size gadgets  $T_{i_1}, T_{i_2}$ , and  $T_{i_3}$  by adding edges with weight  $x \in \mathbb{Z}^+$  to the  $g_r$  nodes of these gadgets. Copies of any size gadget  $T_i$  in  $\mathcal{S}$  will henceforth be denoted by  $S_i$ .



**Fig. 1.** A directed graph and the source and destination trees corresponding to it. For simplicity of depiction,  $s(i) = i$ . Unmarked edges have a weight of 1.

The destination tree  $\mathcal{D}$  is constructed similarly. As before, start with a copy of the center gadget. Fix an arbitrary ordering of its  $c_\ell$  nodes. For all  $i \in [n]$ , attach to the  $i^{th}$   $c_\ell$  node a copy of the size gadget  $T_i$  by adding an edge of weight  $x$  to its  $g_r$  node. These  $n$  size gadgets are called *non-spare* size gadgets. Now let  $\mathcal{P}$  be the multi-set  $\{i \mid \text{gadget } T_i \text{ is used in } \mathcal{S}\}$ . We may assume that  $\mathcal{P} \supseteq [n]$ , otherwise a disjoint cycle cover cannot exist. For each  $i \in \mathcal{P} \setminus [n]$ , attach a copy of the size gadget  $T_i$  directly to the  $c_r$  node by adding edges of weight  $z \in \mathbb{Z}^+$  to their  $g_r$  node. These are called *spare* size gadgets. Copies of any size gadget  $T_i$  in  $\mathcal{D}$  will henceforth be denoted by  $D_i$ .

Note that both  $\mathcal{S}$  and  $\mathcal{D}$  have the same number of nodes and for every  $i \in [n]$ , the same number of copies of the size gadget  $T_i$ . Further,  $\mathcal{S}$  and  $\mathcal{D}$  each have exactly one  $c_r$  node,  $n$   $c_\ell$  nodes, and  $3n$   $g_\ell$  nodes (recall the outdegree of every vertex of  $\mathcal{G}$  is 3). Consider a mapping  $\varphi$  from  $\mathcal{S}$  to  $\mathcal{D}$ . Let  $A$  and  $B$  be sets of nodes in  $\mathcal{S}$  and  $\mathcal{D}$ , respectively.  $\varphi$  *fully* maps  $A$  to  $B$  if  $\{\varphi(u) \mid u \in A\} = B$ .  $\varphi$  maps  $A$  *exactly* to  $B$  if  $A$  and  $B$  are size gadgets with  $g_r$  nodes  $a$  and  $b$ , respectively,  $\varphi$  fully maps  $A$  to  $B$ , and  $\varphi(a) = b$ .

The basic idea of the construction is that  $\mathcal{S}$  encodes the input graph  $\mathcal{G}$  while  $\mathcal{D}$  is setup so that the relationships between the  $c_\ell$  nodes and the non-spare size

gadgets induce (via a low distortion embedding) a permutation on the vertices of  $\mathcal{G}$ . This construction balances two conflicting desires. On one hand, it must be possible to match unused size gadgets to the spare gadgets with small distortion when a disjoint cycle cover exists. Thus, the spare gadgets cannot be too far from the successor-selection part  $\mathcal{D}$ . On the other hand, a node corresponding to a vertex in  $\mathcal{G}$  must be far enough from size gadgets not corresponding to its own successors so that choosing a predecessor incorrectly gives large distortion.

**Lemma 5.** *If  $\mathcal{G}$  has a disjoint cycle cover then  $\text{dist}(\mathcal{S}, \mathcal{D}) \leq (y+z)(x+y)/(xz)$ .*

*Proof.* As  $\mathcal{G}$  has a disjoint cycle cover, there is a permutation  $\sigma : [n] \rightarrow [n]$  such that for all  $i \in [n]$ ,  $(i, \sigma(i))$  is an edge in  $\mathcal{G}$ . We construct a small distortion embedding  $\varphi$  of  $\mathcal{S}$  into  $\mathcal{D}$ . Consider any  $i \in [n]$ . By the definition of  $\sigma$ , an  $S_i$  gadget  $A$  is attached to the  $c_\ell$  node  $u$  corresponding to  $v_{\sigma(i)}$  in  $\mathcal{S}$ . Let  $\varphi$  map  $A$  exactly to the non-spare  $D_i$  gadget  $B$  of  $\mathcal{D}$  and  $u$  to the  $c_\ell$  node attached to  $B$ . This leaves  $2n$  size gadgets of  $\mathcal{S}$  not yet mapped. Map each of these exactly to spare size gadgets of  $\mathcal{D}$ . Finally, let  $\varphi$  map the  $c_r$  node of  $\mathcal{S}$  to the  $c_r$  node of  $\mathcal{D}$ .

We claim that  $\text{exp}(\varphi) = (y+z)/x$ . By Lemma 1, we only need to consider the expansion of the edges of  $\mathcal{S}$ . The  $(g_r, g_\ell)$  and  $(c_r, c_\ell)$  edges in  $\mathcal{S}$  have an expansion of 1. A  $(g_r, c_\ell)$  edge in  $\mathcal{S}$  has an expansion of 1 if the corresponding  $S_i$  gadget is mapped to a non-spare  $D_i$  gadget and  $(y+z)/x$  otherwise. This proves the claim. We further claim that  $\text{exp}(\varphi^{-1}) = (x+y)/x$ . Again using Lemma 1, the only edges in  $\mathcal{D}$  that have expansion different from 1 are the  $(c_r, g_r)$  edges in  $\mathcal{D}$  that give an expansion of  $(x+y)/z$ . This completes the proof.  $\square$

Let  $\varphi$  be any embedding of  $\mathcal{S}$  into  $\mathcal{G}$ . Since both  $\mathcal{S}$  and  $\mathcal{D}$  contain edges of weight 1 and all edge weights are in  $\mathbb{Z}^+$ , we have the following.

**Proposition 1.**  *$\text{exp}(\varphi) \geq 1$  and  $\text{con}(\varphi) \geq 1$ .*

**Lemma 6.** *If  $\mathcal{G}$  has no disjoint cycle cover and  $\varphi$  fully maps every non-spare  $D_i$  gadget from an  $S_i$  gadget and  $c_\ell$  nodes from  $c_\ell$  nodes, then both  $\text{exp}(\varphi)$  and  $\text{con}(\varphi)$  are at least  $1 + 2y/x$ .*

*Proof.* For  $i \in [n]$ , consider the  $S_i$  gadget  $A_i$  that maps to the non-spare  $D_i$  gadget  $B_i$  of  $\mathcal{D}$ . Let  $A_i$  be attached to the  $c_\ell$  node  $u_j$  of  $\mathcal{S}$  corresponding to vertex  $v_j$  of  $\mathcal{G}$ . Let  $B_i$  be attached to the  $c_\ell$  node  $w_i$  of  $\mathcal{D}$ . If  $u_j$  maps to  $w_i$  and  $(v_j, v_i) \in E(\mathcal{G})$ , think of vertex  $v_i$  being chosen as the successor of vertex  $v_j$  in  $\mathcal{G}$ . Since  $\mathcal{G}$  does not have a disjoint cycle cover, there must exist  $i \in [n]$  such that  $u_j$ , as defined above, does not map to  $w_i$ . Fix such  $i$  and  $j$ . Let  $\varphi(u_j) = w_{k_1}$  and  $\varphi(u_{k_2}) = w_i$ , where  $k_1 \neq i$  and  $k_2 \neq j$ . Let  $r$  be the  $g_r$  node of  $A_i$  and  $r'$  be that of  $B_i$ . The edge  $(u_j, r)$  in  $\mathcal{S}$  gives an expansion of at least  $(x+2y)/x = 1 + 2y/x$  because  $\varphi$  maps  $u_j$  to  $w_{k_1}$  and  $r$  to a node within  $B_i$ . Similarly, the edge  $(w_i, r')$  in  $\mathcal{D}$  gives a contraction of at least  $1 + 2y/x$  because  $\varphi^{-1}$  maps  $w_i$  to  $u_{k_2}$  and  $r'$  to a node within  $A_i$ .  $\square$

**Lemma 7.** *If  $\mathcal{G}$  has no loose disjoint cycle cover and  $\varphi$  fully maps every  $S_i$  gadgets to a  $D_i$  gadget, then both  $\text{exp}(\varphi)$  and  $\text{con}(\varphi)$  are at least  $1 + 2y/x$ .*

*Proof.* Since every  $S_i$  gadget fully maps to a  $D_i$  gadget, the center gadget of  $\mathcal{S}$  fully maps to the center gadget of  $\mathcal{D}$ . We first consider the case when  $\varphi$  maps the  $c_r$  node of  $\mathcal{S}$  to the  $c_r$  node in  $\mathcal{D}$ . Every  $c_\ell$  node of  $\mathcal{S}$  must then map to a  $c_\ell$  node of  $\mathcal{D}$  and Lemma 6 completes the proof.

Now suppose that  $\varphi$  maps the  $c_r$  node of  $\mathcal{S}$  to a  $c_\ell$  node  $w_i$  of  $\mathcal{D}$ . As all gadgets are fully mapped, there is a  $c_\ell$  node  $u_j$  of  $\mathcal{S}$  corresponding to vertex  $v_j$  of  $\mathcal{G}$  be mapped to the  $c_r$  node of  $\mathcal{D}$ . Let  $B_i$  be the  $D_i$  gadget attached to  $w_i$ . From the arguments we made above, it follows that if we want at least one of  $\text{exp}(\varphi)$  and  $\text{con}(\varphi)$  to be strictly less than  $1 + 2y/x$ , then only one of two things can happen. First, a size gadget  $A_i$  in  $\mathcal{S}$  that does not correspond to a successor of  $v_j$  is mapped to  $B_i$  and every other size gadget maps correctly w.r.t. the successor relationship in  $\mathcal{G}$ . In this case,  $\text{exp}(\varphi) \geq 1 + 2y/x$  while  $\text{con}(\varphi)$  may be at most  $1 + y/x$ . However, if  $A_i$  corresponds to vertex  $v_i$ , by adding the edge  $(v_j, v_i)$ , we have a disjoint cycle cover, contradicting the absence of a loose cycle cover. Second,  $B_i$  and at most two other non-spare size gadgets  $B_k$  and  $B_\ell$  in  $\mathcal{D}$  are mapped from size gadgets in  $\mathcal{S}$  that correspond to successors  $v_i, v_k$  and  $v_\ell$  of  $v_j$ , and every other size gadget maps correctly w.r.t. the successor relationship in  $\mathcal{G}$ . In this case,  $\text{con}(\varphi) \geq 1 + 2y/x$  while  $\text{exp}(\varphi)$  may be at most  $1 + y/x$ . The successor of  $v_j$  is well-defined in this case, but  $v_k$  and  $v_\ell$  may not be successors of the  $c_\ell$  nodes in  $\mathcal{S}$  mapped to the  $c_\ell$  nodes of  $B_k$  and  $B_\ell$ . If those nodes are  $v_s$  and  $v_t$ , by adding edges  $(v_s, v_k)$  and  $(v_t, v_\ell)$ , we have a disjoint cycle cover, again contradicting the absence of a loose cover.  $\square$

### 3.2 Hardness for Weighted Trees

We first consider general weighted trees with unbounded degree and then modify the reduction so that exactly one node in both  $\mathcal{S}$  and  $\mathcal{D}$  has non-constant degree. Let  $\varphi$  be an embedding of  $\mathcal{S}$  into  $\mathcal{D}$ . We begin by showing that for suitably weighted  $\mathcal{S}$  and  $\mathcal{D}$ , the distortion is large if  $\varphi$  does not map size gadgets correctly.

**Lemma 8.** *If  $s(1) > n$  and  $\varphi$  does not fully map every  $S_i$  gadget to a  $D_i$  gadget, then  $\text{dist}(\varphi) \geq x \cdot \min\{x, z\}$ .*

*Proof.* Suppose  $\text{exp}(\varphi) < \min\{x, z\}$ . For  $i \in [n]$ ,  $s(i) \geq s(1) > n$ . Since the center gadgets have only  $n + 1$  nodes, every size gadget in  $\mathcal{S}$  must have at least one node that  $\varphi$  maps to a size gadget in  $\mathcal{D}$ . Recall that all edges within size gadgets in  $\mathcal{S}$  have weight 1 while every edge going out of size gadgets in  $\mathcal{D}$  has weight  $\min\{x, z\}$ . To keep  $\text{exp}(\varphi) < \min\{x, z\}$ , every node of any size gadget in  $\mathcal{S}$  must map within a single size gadget in  $\mathcal{D}$ . Since for all  $i \in [n]$ ,  $\mathcal{S}$  and  $\mathcal{D}$  have the same number of  $S_i$  and  $D_i$  gadgets, respectively, this can happen only if every  $S_i$  gadget fully maps to a  $D_i$  gadget. A similar argument shows that  $\text{exp}(\varphi^{-1}) < x$  only if every  $D_i$  gadget fully maps to an  $S_i$  gadget.  $\square$

**Theorem 1.** *For  $\alpha \geq 1$ , it is NP-hard to approximate the distortion between two trees with weight ratio  $O(\alpha^2)$  within a factor less than  $1 + \alpha$ .*

*Proof.* Let  $\mathcal{G}$ ,  $\mathcal{S}$ , and  $\mathcal{D}$  be as in Section 3.1 with  $x = \alpha + 1$ ,  $y = \alpha(\alpha + 1)/2$ ,  $z = x + y = (\alpha + 1)(\alpha + 2)/2$ , and  $s(i) = i + n$  for  $i \in [n]$ . The weight ratio of  $\{\mathcal{S}, \mathcal{D}\}$  is  $(\alpha + 1)(\alpha + 2)/2$ . If  $\mathcal{G}$  has a disjoint cycle cover then by Lemma 5  $\text{dist}(\mathcal{S}, \mathcal{D}) \leq 1 + 2y/x = 1 + \alpha$ . If  $\mathcal{G}$  does not have a loose disjoint cycle cover then by Lemmas 7 and 8,  $\text{dist}(\mathcal{S}, \mathcal{D}) \geq \min\{x \cdot \min\{x, z\}, (1 + 2y/x)^2\}$ , which is  $(1 + \alpha)^2$ . The result follows from Lemma 3.  $\square$

Let  $N$  be the number of nodes in  $\mathcal{S}$  (and  $\mathcal{D}$ ). In the above construction,  $N = \Theta(n^2)$ . The  $c_r$  nodes of  $\mathcal{S}$  and  $\mathcal{D}$  have degrees  $n$  and  $3n$ , respectively, which is  $\Theta(\sqrt{N})$ . The  $c_\ell$  nodes have degrees 4 and 2, respectively. The  $g_r$  nodes have degrees between  $n = \Theta(\sqrt{N})$  and  $2n$ , while the  $g_\ell$  nodes have degree 1. By replacing each  $S_i$  and  $D_i$  gadget with a line graph, we can show the following theorem. The complete proof may be found in the full version of the paper [4].

**Theorem 2.** *For  $0 < \epsilon \leq 1/2$  and  $\alpha \geq 1$ , it is NP-Hard to approximate the distortion between two trees with  $N$  nodes, weight ratio  $\Omega(\alpha^2)$ , exactly one node of degree  $\Theta(N^\epsilon)$ , and all other nodes of degree  $\leq 4$  within a factor less than  $1 + \alpha$ .*

### 3.3 Hardness for Unweighted Trees

The construction from Section 3.1 needs slight modification in order to obtain hardness results for the unweighted case. Let  $\mathcal{G}$ ,  $\mathcal{S}$ , and  $\mathcal{D}$  be as in Section 3.1 with  $x = y = z = 1$  and  $s(i) = 2c \cdot (f(i) + 2n^5)$ , where  $c = 4n + 2$  and  $f$  is a strictly increasing 3-way sum-free sequence of size  $n$  in  $[n^5]$  guaranteed by Lemma 4. These parameters imply six useful properties of  $s$ , namely,  $s(\cdot)$  is even,  $s(\cdot)$  is a multiple of  $c$ ,  $2s(1) \gg s(n)$ ,  $2s(n) < 3s(1)$ ,  $|s(i) - s(j)|$  is large for  $i \neq j$ , and  $s(1), s(2), \dots, s(n)$  is a strictly increasing 3-way sum-free sequence. Furthermore, we have that  $c > |\text{Edges}(\mathcal{G})| = 3n$ . We will repeatedly use the fact that  $\mathcal{S}$  and  $\mathcal{D}$  each have  $n + 1$  center gadget nodes and  $3n$   $g_r$  nodes.

The only change to the construction is to modify the non-spare size gadgets in  $\mathcal{D}$ . Instead of being depth one trees with  $s(i)$  leaves, they are now depth two trees with  $s(i)/2$  nodes at depth one, each of which has a single depth two leaf. The root and depth one nodes are denoted by  $g_r$  and  $g_\ell$  as before, the depth two leaves are denoted by  $g'_\ell$ , and the depth one and two nodes are together denoted by  $\overline{g_\ell}$ . All other notation is unchanged. Like the original construction, both  $\mathcal{S}$  and  $\mathcal{D}$  have the same number of nodes and for each  $S_i$  gadget there is a corresponding  $D_i$  gadget with the same number of nodes.

Let  $\varphi$  be any embedding of  $\mathcal{S}$  into  $\mathcal{D}$ . We will prove the following lemmas in the rest of this section using Propositions 2 and 3, respectively.

**Lemma 9.** *If  $\mathcal{G}$  has no disjoint cycle cover and  $\varphi$  does not fully map every  $S_i$  gadget to a  $D_i$  gadget, then  $\text{exp}(\varphi) \geq 3$ .*

**Lemma 10.** *If  $\mathcal{G}$  has no disjoint cycle cover and  $\varphi$  does not fully map every  $S_i$  gadget to a  $D_i$  gadget, then either  $\text{con}(\varphi) \geq 3$  or  $\text{exp}(\varphi) \geq 5$ .*

**Theorem 3.** *It is NP-Hard to approximate the distortion between two unweighted trees within a factor less than  $9/4$ .*

*Proof.* If  $\mathcal{G}$  has a disjoint cycle cover then by an argument similar to Lemma 5,  $\text{dist}(\mathcal{S}, \mathcal{D}) \leq 4$ . Assume that  $\mathcal{G}$  does not have a loose disjoint cycle cover (and hence no disjoint cycle cover either). If  $\varphi$  fully maps every  $S_i$  gadget to a  $D_i$  gadget then by an argument similar to Lemma 7,  $\text{dist}(\varphi) \geq 9$ . If it does not then Lemmas 2, 9, and 10 imply  $\text{dist}(\varphi) \geq 9$ . The result follows from Lemma 3.  $\square$

**Proposition 2.** *If any of the following fail,  $\text{exp}(\varphi) \geq 3$ .*

1. *No size gadget in  $\mathcal{S}$  maps to the  $\overline{g}_\ell$  nodes of two distinct size gadgets in  $\mathcal{D}$ .*
2. *Nodes of no two size gadgets in  $\mathcal{S}$  are both mapped to the  $\overline{g}_\ell$  nodes of a single size gadget in  $\mathcal{D}$ .*
3. *No node of an  $S_i$  gadget maps to a  $\overline{g}_\ell$  node of a  $D_j$  gadget for  $j \neq i$ .*
4. *The  $g_r$  node of any  $S_i$  gadget  $A$  maps within the unique  $D_i$  gadget  $B$  whose  $\overline{g}_\ell$  nodes  $A$  maps to, or possibly to the  $c_r$  node of  $\mathcal{D}$  if  $B$  is a spare gadget.*
5. *The  $c_r$  node of  $\mathcal{S}$  is not mapped to a non-spare gadget or the  $g_\ell$  nodes of a spare gadget in  $\mathcal{D}$ .*
6. *No  $c_\ell$  node in  $\mathcal{S}$  is mapped to a non-spare gadget or the  $g_\ell$  nodes of a spare gadget in  $\mathcal{D}$ .*

*Proof.* Suppose (1) fails and a size gadget  $A$  in  $\mathcal{S}$  maps to the  $\overline{g}_\ell$  nodes of two distinct size gadgets  $B$  and  $C$  in  $\mathcal{D}$ . Any  $\overline{g}_\ell$  node of  $B$  is at least distance 5 away from any  $\overline{g}_\ell$  node of  $C$ , while all nodes in  $A$  are within distance 2 of each other. Hence,  $\text{exp}(\varphi) \geq 5/2$ . By Corollary 1,  $\text{exp}(\varphi) \geq 3$ .

Suppose (2) fails with an  $S_i$  gadget  $A$  and an  $S_k$  gadget  $C$  mapping to the  $\overline{g}_\ell$  nodes of a single  $D_j$  gadget  $B$ .  $A$  and  $C$  together have at least  $s(i) + s(k) - s(j) \geq 2s(1) - s(n) \geq 2c(2 + n^5)$  nodes mapped outside  $B$ . Since there are only  $4n + 1$  non- $\overline{g}_\ell$  nodes in  $\mathcal{D}$  ( $n + 1$  in the center gadget and  $3n$   $g_r$  nodes), a node of  $A$  or  $C$  must map to a  $\overline{g}_\ell$  node of a size gadget in  $\mathcal{D}$  other than  $B$ . This violates (1).

The proofs of the remaining cases are similar and may be found in [4].  $\square$

*Proof of Lemma 9.* By Proposition 2 (1), (4), (5), and (6), no node other than that of a unique  $S_i$  gadget can be mapped to any non-spare  $D_i$  gadget or the  $g_\ell$  nodes of a spare  $D_i$  gadget. It follows that all non-spare gadgets are fully mapped. We further claim that all  $c_\ell$  nodes of  $\mathcal{S}$  are mapped to  $c_\ell$  nodes of  $\mathcal{D}$ , in which case the proof is complete by Lemma 6. The claim holds because of the following. Observe that since all non-spare gadgets are fully mapped, all  $c_\ell$  nodes of  $\mathcal{S}$  must map within the center gadget of  $\mathcal{D}$  to ensure  $\text{exp}(\varphi) \leq 2$ . Further, by the assumption in the lemma, at least one spare gadget  $B$  is partially mapped from a gadget  $A$  in  $\mathcal{S}$ . By (4), the  $g_r$  node of  $A$  must map to the  $c_r$  node of  $\mathcal{D}$ , making the latter unavailable for the  $c_\ell$  nodes of  $\mathcal{S}$ .  $\square$

We begin the contraction argument by stating a straightforward but crucial property of the  $g'_\ell$  nodes of the size gadgets in  $\mathcal{D}$ .

**Observation 1** *If a  $g'_\ell$  node of a size gadget  $B$  in  $\mathcal{D}$  does not have as its image under  $\varphi^{-1}$  in  $\mathcal{S}$  a node with neighbors only those nodes that are images of nodes of  $B$ , the  $c_\ell$  node attached to  $B$ , or the  $c_r$  node of  $\mathcal{D}$ , then  $\text{exp}(\varphi) \geq 5$ .*

Define the *successor cluster*  $X$  corresponding to a vertex  $v$  of  $\mathcal{G}$  to be the  $c_\ell$  node  $u$  of  $\mathcal{S}$  corresponding to  $v$  and the three size gadgets  $A_{i_X}, A_{j_X}$ , and  $A_{k_X}$  attached to it. Let  $Q_X^\varphi \subseteq \{1, \dots, n\}$  be the *multi-set* defined by  $Q_X^\varphi = \{r \mid \text{some non-}g'_\ell \text{ node of a } D_r \text{ gadget maps under } \varphi^{-1} \text{ to a non-}c_\ell \text{ node of } X\}$ . The multiplicity of  $r$  in  $Q_X^\varphi$  is the number of  $D_r$ 's that map in this way to  $X$ . Since the number of center gadget nodes in  $\mathcal{D}$  is only  $n + 1$ ,  $s_X^\varphi = \sum_{r \in Q_X^\varphi} s(r)$  can be less than  $s_X = s(i_X) + s(j_X) + s(k_X)$  by at most  $n + 1$ . However, since  $s_X^\varphi$  and  $s_X$  are both multiples of  $c > n + 1$ ,  $s_X^\varphi \geq s_X$ .

**Proposition 3.** *If any of the following fail,  $\text{con}(\varphi) \geq 3$  or  $\text{exp}(\varphi) \geq 5$ .*

1.  $Q_X^\varphi = \{i_X, j_X, k_X\}$ .
2. The  $g_r$  node of any  $D_i$  gadget  $B$  is mapped within the unique successor cluster  $X$  to which  $B$ 's non- $g'_\ell$  nodes map.
3. The  $c_r$  node of  $\mathcal{D}$  maps to the  $c_r$  node of  $\mathcal{S}$ .
4. The  $g_\ell$  nodes of  $\mathcal{S}$  are occupied only by the size gadget nodes of  $\mathcal{D}$ .
5. If a  $c_\ell$  node of  $\mathcal{D}$  is mapped to a node of a successor cluster  $X$ , then nodes from exactly three size gadgets of  $\mathcal{D}$  map into  $X$ . ( $X$  may have other  $c_\ell$  nodes of  $\mathcal{D}$  mapped into it as well.)
6. If a  $c_\ell$  node of  $\mathcal{D}$  is mapped to the  $c_\ell$  node of a successor cluster  $X$ , then three size gadgets of  $\mathcal{D}$  fully map to the non- $c_\ell$  nodes of  $X$ .
7. If no  $c_\ell$  node of  $\mathcal{D}$  is mapped to a node of a successor cluster  $X$ , then nodes from exactly three size gadgets of  $\mathcal{D}$  map into  $X$  and the  $c_\ell$  node of  $X$  is occupied by a node from a fourth size gadget of  $\mathcal{D}$ .
8. Every successor cluster in  $\mathcal{S}$  is fully mapped from exactly one  $c_\ell$  node and three size gadgets of  $\mathcal{D}$ .
9. If a  $c_\ell$  node  $v$  in  $\mathcal{D}$  is mapped to a successor cluster  $X$ , then the root  $r$  of the size gadget  $B$  attached to  $v$  is mapped to  $X$ .

*Proof.* Unless mentioned otherwise the mapping under consideration in this proof is  $\varphi^{-1}$ . We refer the reader to the full version [4] for most cases of the proof.

If (5) fails, let  $v$  be a  $c_\ell$  node of  $\mathcal{D}$  that is mapped to a node  $u$  of  $X$ . By (4),  $u$  is either a  $c_\ell$  node or a  $g_r$  node. Suppose first that it is a  $c_\ell$  node. Since (5) fails, there is a size gadget  $B$  in  $\mathcal{D}$  that has a node mapping to  $X$  and another adjacent node mapping outside  $X$ . Then  $B$  contains two nodes that are mapped to at least distance 3 apart because they cannot map to the  $c_\ell$  node of  $X$  or to the  $c_r$  node of  $\mathcal{S}$ .

Suppose on the other hand that  $u$  is the  $g_r$  node of size gadget  $A$  in  $X$ . Consider the set  $\mathcal{Z}$  of size gadgets in  $\mathcal{D}$  that have a node mapping to a  $g_\ell$  node of  $A$ . Since the size of each gadget in  $\mathcal{Z}$  is  $1 \pmod c$ , the number of  $g_\ell$  nodes of  $A$  is  $0 \pmod c$ , and  $|\mathcal{Z}| \leq |\text{Edges}(\mathcal{G})| = 3n < c$ , there exists a size gadget  $B \in \mathcal{Z}$  that also maps outside  $A$ . In particular,  $B$  must have a node mapped to the  $c_\ell$  node of  $X$  which is the only node of  $\mathcal{S}$  outside  $A$  within distance 2 of the  $g_\ell$  nodes

of  $A$ . Since by (4) the  $c_r$  node of  $\mathcal{S}$  is already occupied by the  $c_r$  node of  $\mathcal{D}$ , no size gadget (other than possibly  $B$ ) mapping to a node outside  $X$  can also map within  $X$  without causing  $\exp(\varphi^{-1}) \geq 3$ . (5) now follows from (1).  $\square$

*Proof of Lemma 10.* From Proposition 3 (8) and (9), any non-spare size gadget in  $\mathcal{D}$  and the  $c_\ell$  node of  $\mathcal{D}$  it is attached to must map within the same successor cluster in  $\mathcal{S}$  under  $\varphi^{-1}$ . Consequently, Proposition 3 (8) can be strengthened to say that every successor cluster in  $\mathcal{S}$  corresponding to a node  $v$  is fully mapped from exactly one  $c_\ell$  node in  $\mathcal{D}$ , the size gadget  $B$  attached to it, and two spare size gadgets. As  $s(\cdot)$  is sum-free,  $B$  must correspond to a successor of  $v$ . Since there are exactly  $n$   $c_\ell$  nodes in  $\mathcal{D}$ , this assigns a unique successor to each node  $v$ , establishing a disjoint cycle and the contradiction which proves the lemma.  $\square$

## 4 Hardness of Embeddings Between Line Graphs with Large Weights

A *line graph* is an acyclic connected graph of maximum degree two, that is, a line of vertices. We have the following result whose proof appears in the full version of the paper [4].

**Theorem 4.** *Given two line graphs with  $n$  nodes and weight ratio  $\Omega(b^2)$ , for any  $k > 1$  and  $b$  with  $b = \Omega(kn^2)$ , it is NP-hard to determine if the distortion between them is less than  $b/k$  or at least  $b$ .*

**Corollary 2.** *For  $\alpha > 0$ , it is NP-hard to approximate the distortion between two line graphs with  $n$  nodes and weight ratio  $\Omega(\alpha^2 n^4)$  within a factor of  $\alpha$ .*

## 5 Conclusion

We have shown that the problem of finding a minimum distortion embedding between two metrics is hard to approximate within constant factors on even extremely simple graphs, such as weighted lines or unweighted trees. While our constants improve previous results, we believe they are still far from the true story: it seems likely that even approximating distortion in unweighted graphs is much harder than what we know.

One natural relaxation to the graph embedding problem is to find the distortion of embedding a constant fraction of one graph to another. While this quantity will in general be far from the true distortion, it may provide a good enough measure of graph difference for certain applications. Other notions of distortion may also be useful. Rabinovich [19] has used average distortion to study the MinCut-MaxFlow gap in uniform-demand multicommodity flow. Other possibly interesting measures are max-distortion, which is the maximum of expansion and contraction rather than the product, and Gromov-Hausdorff distance, which has applications in analysis. The problem remains open in all these scenarios.

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